



Chris Draughon  
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# INVESTMENT ADVISOR REPRESENTATIVE BROCHURE SUPPLEMENT

This brochure supplement provides information about Chris Draughon that supplements the Geneos Wealth Management, Inc. Investment Advisor Disclosure Brochure. You should have received a copy of that brochure. Please contact the Geneos Advisory Services Department if you did not receive Geneos Wealth Management, Inc. Investment Advisor Disclosure Brochure or if you have any questions about the contents of this supplement.

Additional information about Geneos and this Investment Advisor Representative is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

# EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Chris Draughon, CFP®

Born in 1973, Chris received his BA in Business Administration from the University of North Florida. He is the Director of Financial Planning with First Coast Financial Advisors, LLC. Chris was an Investment Advisor Representative with Edward Jones from June 2008 – August 2012, when he became affiliated with Geneos Wealth Management, Inc. as an Investment Advisor Representative.

## CFP®

Certified Financial Planner™ (CFP®) and certification marks are financial planning credentials awarded by the Certified Financial Planner Board of Standards Inc. (CFP® Board) to individuals who meet its education, examination, work experience and ethics requirements. Eligible candidates must have at least a bachelor's degree (or its equivalent) in any discipline from an accredited college or university in order to obtain a CFP® certification. The candidate also must pass an examination, have three years of personal financial planning experience, and meet the CFP Board's ethical requirements. To maintain the certification, the CFP® Board requires individuals to complete 30 hours of continuing education every two years and renew an agreement to be bound by its Standards of Professional Conduct.

## DISCIPLINARY INFORMATION

Chris Draughon has no disciplinary information to disclose.

## OTHER BUSINESS ACTIVITIES

Chris Draughon is also a registered representative of Geneos in its capacity as a broker-dealer. If you elect to have Chris Draughon implement the advice provided as part of the financial planning or consulting services, this is done in his separate capacity as a registered representative of a broker-dealer. Chris Draughon could receive fees for the advice and could also receive commissions, including 12b-1, annual marketing and distribution fees for implementing the recommendations in this separate capacity. It may provide incentive to recommend investment products based on the compensation received, rather than on your needs. You are not obligated to implement the advice provided by Chris Draughon or to implement transactions through us as a broker-dealer. You are free to select any broker-dealer or registered representative to implement the recommendations provided by Chris Draughon.

If you elect to implement Chris Draughon's advice, he may waive or reduce the financial planning or consulting fees as a result of asset management fees, securities commissions and/or insurance commissions that he may receive in his separate capacities.

## ADDITIONAL COMPENSATION

Geneos has established relationships with other, non-affiliated investment advisors through which we will act as a solicitor and Chris Draughon may refer you to the other investment advisors' management programs. When acting in this solicitor capacity, we receive a portion of the fee you pay to the other investment advisors.

When referring clients to third party money managers, Geneos receives a portion of the fees generated from the referral. Since each money manager has a separate agreement with Geneos, each agreement will have a different percentage of the fee paid to us. That fee is shared with your representative. This may provide an incentive for representatives to recommend one money manager over another one.

Certain product sponsors may provide Chris Draughon with other economic benefits as a result of him recommending or selling the product sponsors' investments. The economic benefits he receives from product sponsors can include, but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist him in providing various services to clients.

## SUPERVISION

Dean Rager is the Chief Compliance Officer of Geneos in its capacity as a registered investment advisor. He is responsible for developing, overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by Geneos and its investment advisor representatives. He can be contacted at 303-785-8470 x121. Jen Pattavina is the Assistant Compliance Officer for Geneos in its capacity as a registered investment advisor. She assists Mr. Rager with development, oversight and enforcement of the firm's compliance programs. She can be contacted at 303-785-8470 x148. Jeff Helms is the Branch Manager for Chris Draughon. He is responsible for monitoring and supervising the activities and services provided by Chris Draughon, in his capacity as an investment advisor representative and a registered representative. He may be contacted at 904-824-4349.